## Exhibit 1

## **Proposed Sur-reply**

## Redacted Version of Document Sought to be Sealed

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19	UNITED STATES DISTRICT COURT							
20	NORTHERN DISTRICT OF CALIFORNIA, OAKLAND DIVISION							
21	CHASOM BROWN, WILLIAM BYATT, JEREMY DAVIS, CHRISTOPHER	Case No. 4:20-cv-03664-YGR-SVK						
22	CASTILLO, and MONIQUE TRUJILLO,	GOOGLE LLC'S SUR-REPLY TO						
23	individually and on behalf of all others similarly situated,	PLAINTIFFS' REPLY IN SUPPORT OF THEIR SUPPLEMENTAL SANCTIONS						
24	Plaintiffs,	BRIEF PURSUANT TO DKT. 624 (DKT.						
25		707-1)						
	VS.	Referral: Hon. Susan van Keulen, USMJ						
26	GOOGLE LLC,	Referral: Holl. Susan van Keulen, USIVIJ						
27	Defendant.							
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Google LLC ("Google") submits this sur-reply to correct several significant factual misrepresentations in Plaintiffs' Reply in Support of Their Supplemental Sanctions Brief Pursuant to Dkt. 624 ("Reply") (Dkt. 707-1).<sup>1</sup>

First, Plaintiffs claim that Mr. Srámek refused to investigate "client-side signals' [that] could be used to detect Incognito." Dkt. 707-1 at 2. This claim is based on the wrong factual premise that Mr. Sramek's assignment was to investigate any and all "client-side signals" that "could be used to detect Incognito." That is not what the Court ordered. Plaintiffs' sanctions motion—and the Court's related Order—was premised on the inference of Incognito from the X-Client Data header. Dkt. 588 at 3 ("The present motion relates to three fields used in certain Google logs: "is chrome incognito"; "is chrome non incognito"; and "maybe chrome incognito."). The Court's July 1, 2022 Order further confirmed that Google is required to identify all data sources with "the disputed fields." Dkt. 624 at 2; see also Dkt. 588 at 3 (May 20, 2022 Order defining the "Incognito-detection bits" as the three bits at issue in the Order). The limitation to the X-Client Data header is just and proper: scorched-earth discovery in this case has confirmed that no signals that signify Incognito mode are sent from Chrome browsers to Google web services.<sup>2</sup> And with the exception of the absence of the X-Client Data header, nothing else has been identified that could be used to heuristically infer Incognito mode on the Chrome browser. Plaintiffs' attempt to take the matter back to square one should be rejected.

Second, Plaintiffs claim Mr. Sramek is "limiting his investigation only to logs in which Google uses any field to infer private browsing, and only for the three bits that Plaintiffs identified," (Dkt. 707-1 at 2) and that he "appears to refuse to share findings" (*Id.* at 3 n.3). That is false. Mr. Śrámek supervised extensive source code audits, surveys of 125 teams identified as owners of relevant source code, and follow-up interviews with 18 Google teams across the globe, with the goal

<sup>&</sup>lt;sup>1</sup> Upon the Court's request, Google will submit a factual declaration affirming the factual statements asserted herein to the extent not already covered in prior fact declarations.

<sup>&</sup>lt;sup>2</sup> Trebicka Decl. Ex. 1 (McClelland Depo Tr.) at 165:6-18 ("Q. Earlier you mentioned something along the lines of Google not being able to know when people are in Incognito mode. Do you recall that? A. I do, yes. Q. Are you saying that the Chrome side cannot see Incognito signals? . . . A. Chrome itself is aware that the user is in Incognito mode, but Chrome does not send that signal to Google or any other web server.").

of identifying any and all instances in which the absence of the X-Client Data header is used as a 1 2 3 4 5 6 7 8 9 10 11

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(albeit unreliable) proxy for Incognito traffic. Dkt. 695-4 ¶ 5. The investigation covered, but was not limited to, the three "Incognito-detection bits," Dkt. 588 at 3, which contain a Boolean value determined by the presence or absence of the X-Client Data header. Dkt. 527-4 at 13. Based on the investigation, Mr. Šrámek submitted a declaration on May 31, 2022 identifying logs that contain "Incognito-detection bits" (Dkt. 614-3) and a supplemental declaration on June 14, 2022 additional logs, including logs auto-populated with the maybe\_chrome\_incognito identifying log that contains no searchable identifiers (Dkt. 614-2). Mr. Šrámek's August bit and 18, 2022 declaration in response to the Court's July 1, 2022 Order that Google is required to identify all data sources with "the disputed fields" (Dkt. 624 at 2), confirmed that based on the investigation, Google had identified all logs in which the three "Incognito-detection bits" were found.

Third, Plaintiffs make an unsupported claim that "Google no longer disputes that data flagged with an Incognito-detection bit in such logs can be joined with users' 'authenticated' data to identify them." Dkt. 707-1 at 3. That is absolutely false. As already explained in Google's opposition brief, any data with a value of "TRUE" for the Incognito detection bits in a personal log would consist of data from either (i) Incognito sessions where a user signed into a Google account during the session, and thus is outside the class definition;<sup>3</sup> and (ii) a signed-in user whose browser did not send the X-Client Data header despite not being in Incognito mode. Dkt. 695-3 at 6. The socalled "joined" logs store information included in two or more existing logs, but do not join individual authenticated records with unauthenticated Incognito records. Dkt. 614-2 ¶ 9; Dkt. 695-3 at 6-7.4 Indeed, despite years of extensive discovery, Plaintiffs' technical and privacy experts

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<sup>&</sup>lt;sup>3</sup> See Dkt. 395-2 (TAC) ¶ 192 (limiting class to users who did not sign into a Google Account while in Incognito mode); see also Dkt. 83-18 (Search & Browse Privately page which Plaintiffs contend is part of their contract) at 2 ("Important: If you sign in to your Google Account [while in private browsing mode] to use a web service like Gmail, your searches and browsing activity might be saved to your account.") (emphasis in original).

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<sup>&</sup>lt;sup>4</sup> Plaintiffs' claim that Mr. Šrámek's August 18 declaration confirming, yet again, that Google does not join authenticated data with unauthenticated data is "based entirely on an inadmissible hearsay from another 'Google engineer'" (Dkt. 707-1 at 3 n.4) is meritless. As an initial matter, there is already an overwhelming volume of evidence and testimony in the record confirming that Google does not join unauthenticated data with authenticated data. Every Google witness who was asked that question has confirmed it. In any event, as a declarant, Mr. Šrámek is allowed to base his

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admit they have not identified a single instance in which Google linked signed out private browsing activity to a user or her account, or to her signed in activity. Dkt. 659-3 at 11.

Fourth, Plaintiffs claim that they have suffered "prejudice" from a supposed spoliation because Google made no representation that the logs disclosed on June 14 or "others as to which it refuses to investigate" had been included in the Special Master's preservation proposal. Dkt. 707-1 at 3. There is no prejudice or spoliation here. The log does not contain any user identifier that Google could have used to sample or search the log source as contemplated by the Preservation Order. Dkt. 695-3 at 3. The other logs contained the maybe chrome incognito bit only as a result of an idiosyncrasy of Google's backend infrastructure, which automatically populated the bit in these logs. Importantly, these logs are not accretive: (1) information in addition to what is recorded in the logs subject to the Preservation Order; (2) and (4) . Plaintiffs' contain other unsupported claim that Google somehow spoliated evidence with respect to "other [logs] as to which it refuses to investigate" is even further afield. Google has not "refused" to investigate any log or field—on the contrary, Mr. Sramek's declarations confirm that Google has conducted an extensive investigation to identify all instances using the absence of X-Client Data header, which is the only proxy, however unreliable, for Incognito traffic identified through months of discovery. Based on that investigation, Google is not aware of any other relevant logs.

Fifth, Plaintiffs argue that "Google's contention that Messrs. Šrámek and Harren were only recently assigned to investigate Incognito-detection bits is belied by the recently produced e-mail."

statements on information he has obtained in the course of his investigation. *Bearden v. Cnty. of San Mateo*, 2008 WL 2705085, at \*4 (N.D. Cal. July 8, 2008) (overruling objections to declaration as hearsay because the "declaration is based on [the declarant's] personal knowledge, gleaned as a result of his investigation into plaintiffs' terminations, and is well within the proper confines of declarant testimony.") Here, Mr. Šrámek attested that he directly spoke with the engineer who wrote the code for and implemented the log at issue, who confirmed there is no joining of individual authenticated records with unauthenticated records in the log at issue. Dkt. 695-4, ¶ 10.

1	Dkt. 707-1 at 4. Plaintiffs are incorrect. The communications in GOOG-BRWN-00857642 related							
2	to a regulatory inquiry by the United Kingdom's Competition and Markets Authority ("CMA")—							
3	not this Court's May 20 Order. Dkt. 733 (Google's concurrently-filed Motion to Strike) at 1. The							
4	CMA inquiry concerned (in part) the X-Client Data header, but it was not related to Incognito or							
5	private browsing, much less the "Incognito detection bits" at issue here. <sup>5</sup>							
6	Finally, Plaintiffs' allegation that "Google does not dispute the Court may award additional							
7	monetary sanctions" is directly contradicted by the record. See Dkt. 695-3 at 8.							
8	For the foregoing reasons, Google respectfully requests that the Court deny Plaintiffs'							
9	request for additional sanctions.							
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<u>,</u>	<sup>5</sup> See CMA. Notice of intention to accept	comm	itments	offered by Good	ple in relation to its Pr	ivacv		

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<sup>&</sup>lt;sup>5</sup> See CMA, Notice of intention to accept commitments offered by Google in relation to its Privacy Sandbox Proposals, June 11, 2021, Case 50972, available at <a href="https://bit.ly/3vlyGm9">https://bit.ly/3vlyGm9</a>.

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